

Reference Code:	Subcategory	Authority Delegated To:	Tier Level	Authority Description / Function / Power	Conditions / Limits on Delegated Authority	Permitted Sub-delegation
R1	Doctoral	Deputy Vice-Chancellor (Research)	2	Approve request to embargo a thesis (restrict access to thesis) due to sensitivity	In accordance with Thesis Availability Policy	This can be sub-delegated to Dean of Postgraduate Research. Can be cross delegated.
R2	Ethics	Deputy Vice-Chancellor Research	2	Appoint Chair and Deputy Chair of Animal Ethics Committee or Human Research Ethics Committee	Upon approval and endorsement of Animal Ethics Committee	This can be sub-delegated one tier down. Can be cross delegated.
R3	Ethics	Deputy Vice-Chancellor Research	2	Decide outcomes/action where dispute or complaint arises in Animal Ethics Committee or Human Research Ethics Committee		This can be sub-delegated one tier down. Can be cross delegated.
R5	Doctoral	Dean of Postgraduate Research	3	Approve a variation to the Doctoral Regulations (PhD, EdD, DMA, DHSC) for doctorates where special or unusual circumstances warrant		No sub-delegation permitted. Can be cross delegated.
R6	Doctoral	Dean of Postgraduate Research	3	Determine discontinuation if unsatisfactory academic progress		No sub-delegation permitted. Can be cross delegated.
R7	Doctoral	Dean of Postgraduate Research	3	Determine whether an oral examination should proceed for a doctoral thesis examination	Sub-delegation to be exercised only on the positive recommendation of all examiners.	This can be sub-delegated one tier down. Can be cross delegated.
R8	Doctoral	Dean of Postgraduate Research	3	Determine the outcome of the doctoral examination process	Sub-delegation to be exercised only on the positive recommendation of the Chair of the Examination Panel.	This can be sub-delegated one tier down. Can be cross delegated.
R9	Doctoral	Dean of Postgraduate Research	3	Determine appropriate outcome of appeal by student or supervisor during examination process		No sub-delegation permitted. Can be cross delegated.
R10	Doctoral	Dean of Postgraduate Research	3	Approve request to embargo a thesis (restrict access to thesis) due to intellectual property, commercial contracts or cultural or personal privacy matters	In accordance with Thesis Availability Policy	No sub-delegation permitted. Can be cross delegated.
R11	Higher Doctoral	Dean of Postgraduate Research	3	Approve a variation to the Higher Doctoral Regulations for higher doctorates		No sub-delegation permitted. Can be cross delegated.
R12	Higher Doctoral	Dean of Postgraduate Research	3	Approve the award/qualification of a higher doctorate	On recommendation of Examiners	No sub-delegation permitted. Can be cross delegated.
R13	Doctoral	Associate Dean of PG Research	3	Approve an applicant's admission to a doctoral degree	Sub-delegation to be exercised only when all criteria is met and on positive recommendation from the relevant department.	This can be sub-delegated one tier down. Can be cross delegated.
R14	Doctoral	Associate Dean of PG Research	3	Vary time limits for completion of doctoral degree	In accordance with the applicable Doctoral Regulations and on positive recommendation from the relevant department.	No sub-delegation permitted. Can be cross delegated.
R15	Doctoral	Associate Dean of PG Research	3	Approve suspension of time from enrolment	Sub-delegation permitted if suspension is less than 12 months total across degree and on positive recommendation from the relevant department.	This can be sub-delegated one tier down. Can be cross delegated.
R16	Doctoral	Associate Dean of PG Research	3	Approve Examiners for the examination of doctoral theses	In accordance with criteria under applicable Doctoral Regulations and on recommendation of relevant department.	No sub-delegation permitted. Can be cross delegated.
R17	Doctoral	Associate Dean of PG Research	3	Appointment of examination Chair for the examination of doctoral theses	In accordance with criteria under applicable Doctoral Regulations and on recommendation of relevant department.	This can be sub-delegated one tier down. Can be cross delegated.
R18	Doctoral	Associate Dean of PG Research	3	Approve the award of a Doctoral degree	In accordance with criteria under applicable Doctoral Regulations.	No sub-delegation permitted. Can be cross delegated.
R19	Doctoral	Associate Dean of PG Research	3	Determine probation if unsatisfactory academic progress	In accordance with criteria under applicable Doctoral Regulations.	No sub-delegation permitted. Can be cross delegated.
R20	Doctoral	Associate Dean of PG Research	3	Approve a doctoral student's progress report	In accordance with criteria under applicable Doctoral Regulations. Sub-delegation only permitted where progress is satisfactory as determined by the department.	This can be sub-delegated one tier down. Can be cross delegated.

Reference Code:	Subcategory	Authority Delegated To:	Tier Level	Authority Description / Function / Power	Conditions / Limits on Delegated Authority	Permitted Sub-delegation
R21	Doctoral	Associate Dean of PG Research	3	Approve confirmation of candidature	In accordance with criteria under applicable Doctoral Regulations. Sub-delegation only permitted on positive recommendation of Confirmation Process Panel.	This can be sub-delegated one tier down. Can be cross delegated.
R22	Doctoral	Associate Dean of PG Research	3	Approve extension of time for thesis submission	In accordance with criteria under applicable Doctoral Regulations and on positive recommendation from the relevant department.	No sub-delegation permitted. Can be cross delegated.
R23	Doctoral	Associate Dean of PG Research	3	Transfer Masters to Doctorate: Approval of masters student undertaking thesis work to transfer to PhD programme	In accordance with Regulations for Degree of Doctor of Philosophy para 7(a) and Master's Thesis Work Policy and Guidelines	This can be sub-delegated one tier down. Can be cross delegated.
R24	Doctoral	Associate Dean of PG Research	3	Transfer Doctorate to Masters: Approve the transfer of a student who has not fulfilled the requirements of their doctoral programme or who wishes to transfer to the appropriate masters degree		This can be sub-delegated one tier down. No sub-delegation permitted. Can be cross delegated.
R25	Doctoral	Associate Dean of PG Research	3	Approve the transfer of a doctoral candidate from another University	In accordance with Credit Recognition and Transfer Regulations	This can be sub-delegated one tier down. Can be cross delegated.
R26	Doctoral	Associate Dean of PG Research	3	Approve an enrolment period for resubmission and revision of doctoral thesis	With agreement of enrolment period from Supervisor and Student	No sub-delegation permitted. Can be cross delegated.
R27	Doctoral	Associate Dean of PG Research	3	Approve appointment/change of Supervisors for a research programme	With recommendation of HOD/S	No sub-delegation permitted. Can be cross delegated.
R28	Doctoral	Associate Dean of PG Research	3	Require doctoral students to enrol in additional papers/programmes while undertaking a doctorate	As condition of enrolment or with recommendation of Supervisor	No sub-delegation permitted. Can be cross delegated.
R29	Doctoral	Associate Dean of PG Research	3	Approve a doctoral student's supervisory agreement, supervisor appointment, research proposal and EFTS splits	In conjunction with the Head of Department/School	No sub-delegation permitted. Can be cross delegated.
R30	Doctoral	Associate Dean of PG Research	3	Approve doctoral student undertaking study away from the University	In accordance with the applicable Doctoral Regulations and on positive recommendation from the relevant department.	This can be sub-delegated one tier down. Can be cross delegated.
R31	Doctoral	Associate Dean of PG Research	3	Approve exemption for a doctoral student to enrol part-time	In accordance with the applicable Doctoral Regulations and on positive recommendation from the relevant department.	This can be sub-delegated one tier down. Can be cross delegated.
R32	Doctoral	Associate Dean of PG Research	3	Approve an extension of time for supervision agreement, research proposal, registration of topic or confirmation of candidature	Sub-delegation permitted on positive recommendation of the Head of Department/School	This can be sub-delegated one tier down. Can be cross delegated.
R33	Higher Doctoral	Associate Dean of PG Research	3	Approve eligibility to apply for a higher doctorate		No sub-delegation permitted. Can be cross delegated.
R34	Higher Doctoral	Associate Dean of PG Research	3	Determine whether to proceed with the examination of a higher doctorate application		No sub-delegation permitted. Can be cross delegated.
R35	Masters	Associate Dean of PG Research	3	Approving a final grade for a masters research examination	In accordance with Master's Thesis Work Policy and Guidelines and on recommendation of Examiners	This can be sub-delegated one tier down. Can be cross delegated.
R36	Masters	Associate Dean of PG Research	3	Appointment of master's thesis Examiners	In accordance with Master's Thesis Work Policy and Guidelines	No sub-delegation permitted. Can be cross delegated.
R37	Ethics	Deputy Vice-Chancellor (Research)	2	Appoint and remove members of the Animal Ethics Committee and Human Research Ethics Committee	On recommendation of Executive Dean or HOD/S if staff role and for non-staff roles on recommendation of Chair of Committee	No sub-delegation permitted. Can be cross delegated.
R38	Ethics	Animal Ethics Committee Chair		Approve, reject, revoke or suspend applications, with or without conditions	upon recommendation and consultation with the committee	No sub-delegation permitted. Can be cross delegated.
R40	Ethics	Human Research Ethics Committee Chair		Approve, reject, revoke or suspend applications, with or without conditions	upon recommendation and consultation with the committee	No sub-delegation permitted. Can be cross delegated.
R41	Ethics	Human Research Ethics Committee Chair		Approve low risk applications at sub-PhD level	In accordance with Human Research Ethics Policy	No sub-delegation permitted. Can be cross delegated.

Reference Code:	Subcategory	Authority Delegated To:	Tier Level	Authority Description / Function / Power	Conditions / Limits on Delegated Authority	Permitted Sub-delegation
R42	Students' personal courses of study	Associate Dean (Academic)	3	Approve periods of study and research, including field work, to be undertaken by Master's student away from the University or overseas, for periods greater than one month		This can be sub-delegated down one tier
R43	Students' personal courses of study	Associate Dean (Academic)	3	Approve enrolment extra murally for Master's thesis work	On recommendation of HOD/S	This can be sub-delegated down one tier
R44	Students' personal courses of study	Associate Dean (Academic)	3	Approve extension of time for submission of a research paper, thesis or dissertation	In accordance with applicable Regulations	No sub-delegation permitted.
R45	Students' personal courses of study	Associate Dean (Academic)	3	Take suitable action if inadequate progress or performance of student undertaking Master's thesis work (e.g. issuing a formal warning or resolving a dispute)	In consultation with or on recommendation from HOD/S and/or Senior Supervisor	No sub-delegation permitted
R46	Students' personal courses of study	Associate Dean (Academic)	3	Approval of a student's Master's degree research proposal and approve appointment of Senior Supervisor	On recommendation of Head of Department/School	No sub-delegation permitted.
R47	Doctoral	Head of Department/School	3	Approve a Masters student's supervisory agreement, supervisory appointment, research proposal and EFTS splits	In conjunction with the Dean Postgraduate Research	No sub-delegation permitted.
R48	Students' personal courses of study	Head of Department/School (Exec Dean - Health& Law)	3	Replace a Senior Supervisor for a student's Master's degree	On recommendation of Head of Department/School (Assoc Dean - Health & Law)	No sub-delegation permitted.
R49	Students' personal courses of study	Associate Dean (Academic) (Exec Dean - Health& Law)	3	Decline Master's degree enrolment due to insufficient resource requirements or appropriate supervisor with expertise not available	On recommendation of Head of Department/School (Assoc Dean - Health & Law)	No sub-delegation permitted.
R50	Students' personal courses of study	Associate Dean (Academic) (Exec Dean - Health& Law)	3	Appoint a Co-Supervisor and/or Associate Supervisor for a student's Master's thesis degree	On recommendation of Head of Department/School (Assoc Dean - Health & Law)	No sub-delegation permitted.
R51	Students' personal courses of study	Associate Dean (Academic) (Exec Dean - Health& Law)	3	Replace Co-Supervisor and/or Associate Supervisor for a student's Master's thesis degree where good reason to do so	On recommendation of Head of Department/School (Assoc Dean - Health & Law)	No sub-delegation permitted.
R52	Students' personal courses of study	Associate Dean (Academic) (Exec Dean - Health& Law)	3	Take appropriate action if inadequate progress or performance of student undertaking Master's thesis degree (e.g. issuing a formal warning or resolving a dispute)	In consultation with or on recommendation from Senior Supervisor and/or Head of Department/School (Assoc Dean - Health & Law)	No sub-delegation permitted.
R53	Students' personal courses of study	Associate Dean (Academic) (Exec Dean - Health& Law)	3	Approve periods of study and research, including field work, to be undertaken by Master's student away from the University or overseas, for periods of less than one month	On recommendation of Head of Department/School (Assoc Dean - Health & Law)	No sub-delegation permitted.