

RESEARCH AND INNOVATION CONTRACTS DELEGATIONS SCHEDULE

Research and Innovation Contracts Delegations Schedule Requirements:

In accordance with the Delegations of Authority Policy, the Authority Holder must inform their manager as soon as possible if an exercise or potential exercise of Delegated

Authority is in relation to an issue that may be substantially contentious or controversial or may have substantial reputational or political implications.

Reference Code	Subcategory	Authority Delegated To	Tier Level	Authority Description / Function / Power	Conditions / Limits	Sub-delegation Status
RI1	Research & Innovation Contracts	Council	0	Enter into, amend and renew agreements that commit the University to the sale of shares/equity or purchase of shares/equity in a company	With the consent of the Secretary of Education (where required). Unlimited	No sub-delegation permitted, unless authorised in writing by Council.
RI2	Research & Innovation Contracts	Vice-Chancellor	1	Enter into, amend and renew agreements that commit the University to the sale of shares/equity or purchase of shares/equity in a company (including for example, exchange of shares for royalties)	With the consent of the Secretary of Education (where required). Limit of \$10,000,000. Must consult with Finance and Legal Services.	Sub-delegation permitted.
RI3	Research & Innovation Contracts	Director of Research and Innovation	3	Enter into, amend and renew agreements that commit the University to the sale of shares/equity or purchase of shares/equity in a company (including for example, exchange of shares for royalties)	Limit of \$200,000.	No sub-delegation permitted, unless authorised in writing by Vice-Chancellor.
RI4	Research & Innovation Contracts	Council	0	Enter into, amend and renew contracts for (i) research and consultancy services provided by the University (ii) funding applications, whether led by the University or third parties, (iii) commercialisation activities with respect to UC owned intellectual property and/or (iv) research project service agreements and sub-contracting agreements (where the expenditure is from research funding)	Unlimited	No sub-delegation permitted, unless authorised in writing by Council.
RI5	Research & Innovation Contracts	Vice-Chancellor	1	Enter into, amend and renew contracts for (i) research and consultancy services provided by the University (ii) funding applications, whether led by the University or third parties, (iii) commercialisation activities with respect to UC owned intellectual property and/or (iv) research project service agreements and sub-contracting agreements (where the expenditure is from research funding), in each case up to the stated limits of the total contract value	Up to \$25,000,000 (total contract value).	Sub-delegation permitted.
RI6	Research and Innovation Contracts	Deputy Vice-Chancellor Research	2	Enter into, amend and renew contracts for (i) research and consultancy services provided by the University (ii) funding applications, whether led by the University or third parties, (iii) commercialisation activities with respect to UC owned intellectual property and/or (iv) research project service agreements and sub-contracting agreements (where the expenditure is from research funding), in each case up to the stated limits of the total contract value	Up to \$15,000,000 (total contract) and for up to seven years.	No sub-delegation permitted, unless authorised in writing by Vice-Chancellor.
RI7	Research & Innovation Contracts	Director of Research & Innovation	3	Enter into, amend and renew contracts for (i) research and consultancy services provided by the University (ii) funding applications, whether led by the University or third parties, (iii) commercialisation activities with respect to UC owned intellectual property and/or (iv) research project service agreements and sub-contracting agreements (where the expenditure is from research funding), in each case up to the stated limits of the total contract value	Up to \$5,000,000 (total contract value) and for up to seven years.	This can be sub-delegated one tier down.

Research and Innovation Contracts Delegations Schedule Requirements:
In accordance with the Delegations of Authority Policy, the Authority Holder must inform their manager as soon as possible if an exercise or potential exercise of Delegated Authority is in relation to an issue that may be substantially contentious or controversial or may have substantial reputational or political implications.

Reference Code	Subcategory	Authority Delegated To	Tier Level	Authority Description / Function / Power	Conditions / Limits	Sub-delegation Status
RI8	Research & Innovation Contracts	Council	0	Enter into, amend and renew agreements for the management and exploitation of intellectual property with third parties, including the granting or accepting of licences, intellectual property assignments or share of benefits agreements, including agreements which may involve the University taking equity in a company up to the stated limits of total contract value	Unlimited	No sub-delegation permitted, unless authorised in writing by Council.
RI9	Research & Innovation Contracts	Vice-Chancellor	1	Enter into, amend and renew agreements for the management and exploitation of intellectual property with third parties, including the granting or accepting of licences, intellectual property assignments or share of benefits agreements, including agreements which may involve the University taking equity in a company up to the stated limits of total contract value	Up to \$10,000,000 (total contract value)	Sub-delegation permitted.
RI10	Research & Innovation Contracts	Deputy Vice-Chancellor Research	2	Enter into, amend and renew agreements for the management and exploitation of intellectual property with third parties, including the granting or accepting of licences, intellectual property assignments or share of benefits agreements, including agreements which may involve the University taking equity in a company up to the stated limits of total contract value	Up to \$5,000,000 (total value contract)	No sub-delegation permitted, unless authorised in writing by Vice-Chancellor.
RI11	Research & Innovation Contracts	Director of Research & Innovation	3	Enter into, amend and renew agreements for the management and exploitation of intellectual property with third parties, including the granting or accepting of licences, intellectual property assignments or share of benefits agreements, including agreements which may involve the University taking equity in a company up to the stated limits of total contract value	Up to \$1,500,000 (total value contract)	This can be sub-delegated one tier down.
RI12	Research & Innovation Contracts	Director of Research & Innovation	3	Enter into, amend and renew enabling agreements which support research, consultancy and/or commercialisation activities, such as non-disclosure and confidentiality agreements, material transfer agreements, data sharing and access agreements.		This can be sub-delegated one tier down.
RI13	Research & Innovation Contracts	Director of Research & Innovation	3	Acting as Shareholder Representative or Director for companies which have been spun out of the University to commercialise University IP	Subject to approval by Deputy Vice-Chancellor (Research)	No sub-delegation permitted, unless authorised in writing by Vice-Chancellor.
RI14	Research & Innovation Contracts	Deputy Director of Research & Innovation	4	Enter into, amend and renew non-binding memoranda of understanding (MOUs) with other research institutions which have no monetary value attached and are designed to support funding applications or tenders for research or consultancy services.		This can be sub-delegated one tier down.
RI15	Research and Innovation Contracts	Director of Research & Innovation	3	Enter into a contract where University staff member is expert witness for third party		No sub-delegation permitted, unless authorised in writing by Vice-Chancellor.
RI16	Research and Innovation Contracts	Director of Research and Innovation	3	Enter into deeds (for example deed of intellectual property assignment, share of benefit deed, confidentiality deed) where common seal is not legally required	Consult with Legal Services as needed	This can be sub-delegated one tier down.
RI17	Research and Innovation Contracts	Deputy Vice-Chancellor Research	2	Enter into, amend and renew agreements that commit the University to the sale of shares/equity or purchase of shares/equity in a company (including for example, exchange of shares for royalties)	Limit of \$500,000	No sub-delegation permitted, unless authorised in writing by Vice-Chancellor.

Research and Innovation Contracts Delegations Schedule Requirements:

In accordance with the Delegations of Authority Policy, the Authority Holder must inform their manager as soon as possible if an exercise or potential exercise of Delegated Authority is in relation to an issue that may be substantially contentious or controversial or may have substantial reputational or political implications.

Reference Code	Subcategory	Authority Delegated To	Tier Level	Authority Description / Function / Power	Conditions / Limits	Sub-delegation Status
RI18	Research and Innovation Contracts	Deputy Vice-Chancellor Research	2	Terminate Research and Innovation contracts	In accordance with the terms and conditions of the contract and with Legal Services advice	No sub-delegation permitted, unless authorised in writing by Vice-Chancellor.